

Rationality problem for algebraic tori

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The aim of this talk is to introduce some results in

[HY] A. Hoshi, A. Yamasaki, Rationality problem for algebraic tori, arXiv:1210.4525, 125 pages.

§1. Rationality problem for algebraic tori (1/3)

- ▶ k : a base field which is **NOT** algebraically closed! (TODAY)
- ▶ T : algebraic torus, i.e. k -form of a split torus;
 T is an algebraic group over k with $T \times_k \bar{k} \simeq (\mathbb{G}_{m, \bar{k}})^n$.

Rationality problem for algebraic tori

Whether T is **rational** over k ?

Let $R_{K/k}^{(1)}(\mathbb{G}_m)$ be **the norm one torus** of K/k , i.e. the kernel of the norm map $N_{K/k} : R_{K/k}(\mathbb{G}_m) \rightarrow \mathbb{G}_m$ where $R_{K/k}$ is the Weil restriction:

$$1 \longrightarrow R_{K/k}^{(1)}(\mathbb{G}_m) \longrightarrow R_{K/k}(\mathbb{G}_m) \xrightarrow{N_{K/k}} \mathbb{G}_m \longrightarrow 1.$$

- ▶ $\exists 2$ algebraic tori with $\dim(T) = 1$;
the trivial torus \mathbb{G}_m and $R_{K/k}^{(1)}(\mathbb{G}_m)$ with $[K : k] = 2$,
which are **rational** over k .

Rationality problem for algebraic tori (2/3)

- ▶ $\exists 13$ algebraic tori with $\dim(T) = 2$.

Theorem (Voskresenskii, 1967) 2-dim. algebraic tori T

T is **rational** over k .

- ▶ $\exists 73$ algebraic tori with $\dim(T) = 3$.

Theorem (Kunyavskii, 1990) 3-dim. algebraic tori T

- (i) $\exists 58$ algebraic tori T which are **rational** over k ;
- (ii) $\exists 15$ algebraic tori T which are **not rational** over k .

- ▶ What happens in higher dimensions?

k -tori and G -lattices

- ▶ T : k -torus (= algebraic torus over k)
 $\implies \exists$ finite Galois extension L/k such that $T \times_k L \simeq (\mathbb{G}_{m,L})^n$.
- ▶ $G = \text{Gal}(L/k)$ where L is the minimal splitting field.

Category of algebraic k -tori which split/ L $\xleftrightarrow{\text{duality}}$ Category of G -lattices
(i.e. finitely generated \mathbb{Z} -free $\mathbb{Z}[G]$ -module)

- ▶ $T \mapsto$ the character group $X(T) = \text{Hom}(T, \mathbb{G}_m)$: G -lattice.
- ▶ $\exists T$ which splits over L with $X(T) \simeq M \leftarrow M$: G -lattice
- ▶ Tori of dimension $n \xleftrightarrow{1:1}$ elements of the set $H^1(\mathcal{G}, \text{GL}(n, \mathbb{Z}))$
where $\mathcal{G} = \text{Gal}(k_s/k)$ since $\text{Aut}(\mathbb{G}_m^n) = \text{GL}(n, \mathbb{Z})$.
- ▶ k -torus T of dimension n is determined uniquely by the integral representation $h : \mathcal{G} \rightarrow \text{GL}(n, \mathbb{Z})$ up to conjugacy, and the group $h(\mathcal{G})$ is a finite subgroup of $\text{GL}(n, \mathbb{Z})$.
- ▶ The function field of $T \xleftrightarrow{\text{identified}} L(M)^G$: invariant field.

Rationality problem for algebraic tori (3/3)

- ▶ L/k : Galois extension with $G = \text{Gal}(L/k)$.
- ▶ $M = \bigoplus_{1 \leq j \leq n} \mathbb{Z} \cdot u_j$: G -lattice with a \mathbb{Z} -basis $\{u_1, \dots, u_n\}$.
- ▶ G acts on $L(x_1, \dots, x_n)$ by

$$\sigma(x_j) = \prod_{i=1}^n x_i^{a_{i,j}}, \quad 1 \leq j \leq n$$

for any $\sigma \in G$, when $\sigma(u_j) = \sum_{i=1}^n a_{i,j} u_i$, $a_{i,j} \in \mathbb{Z}$.

- ▶ $L(M) := L(x_1, \dots, x_n)$ with this action of G .
- ▶ The function field of algebraic k -torus $T \xleftrightarrow{\text{identified}} L(M)^G$

Rationality problem for algebraic tori (2nd form)

Whether $L(M)^G$ is **rational** over k ?

(= purely transcendental over k ?; $L(M)^G = k(\exists t_1, \dots, \exists t_n)$?)

§2. Main theorems. Some definitions.

- ▶ L/k : a finite generated field extension.

Definition (stably rational)

L is called **stably rational** over k if $L(y_1, \dots, y_m)$ is rational over k .

Definition (retract rational)

L is **retract rational** over k if $\exists k$ -algebra $R \subset L$ such that

- (i) L is the quotient field of R ;
- (ii) $\exists f \in k[x_1, \dots, x_n] \exists k$ -algebra hom. $\varphi : R \rightarrow k[x_1, \dots, x_n][1/f]$ and $\psi : k[x_1, \dots, x_n][1/f] \rightarrow R$ satisfying $\psi \circ \varphi = 1_R$.

Definition (unirational)

L is **unirational** over k if L is a subfield of rational field extension of k .

- ▶ “rational” \implies “stably rational” \implies “retract rational” \implies “unirational”.
- ▶ $L(M)^G$ (resp. T) is always **unirational** over k .

Rationality of algebraic tori (3-dim.)

- ▶ $\exists 73$ \mathbb{Z} -conjugacy subgroups $G \leq \mathrm{GL}(3, \mathbb{Z})$
($\exists 73$ 3-dim. algebraic tori T).

Theorem (Kunyavskii, 1990) 3-dim. algebraic tori T (precise form)

- (i) $\exists 58$ algebraic tori T which are **rational** over k ;
- (ii) $\exists 15$ algebraic tori T which are **not rational** over k ;
- (iii) T is **rational** over k
 - $\iff T$ is **stably rational** over k
 - $\iff T$ is **retract rational** over k .

Main Theorem I. Rationality of algebraic tori (4-dim.)

- ▶ $\exists 710$ \mathbb{Z} -conjugacy subgroups $G \leq \mathrm{GL}(4, \mathbb{Z})$
($\exists 710$ 4-dim. algebraic tori T).

Theorem ([HY]) 4-dim. algebraic tori T

- (i) $\exists 487$ algebraic tori T which are **stably rational** over k ;
- (ii) $\exists 7$ algebraic tori T which are **not stably** but **retract rational** over k ;
- (iii) $\exists 216$ algebraic tori T which are **not retract rational** over k .

- ▶ We do **not** know “rationality” over k .
- ▶ **Voskresenskii's conjecture**:
any stably rational torus over k is rational over k (Zariski problem).
- ▶ what happens for dimension 5?

Main Theorem II. Rationality of algebraic tori (5-dim.)

- ▶ $\exists 6079$ \mathbb{Z} -conjugacy subgroups $G \leq \mathrm{GL}(5, \mathbb{Z})$
($\exists 6079$ 5-dim. algebraic tori T).

Theorem ([HY]) 5-dim. algebraic tori T

- (i) $\exists 3051$ algebraic tori T which are **stably rational** over k ;
- (ii) $\exists 25$ algebraic tori T which are **not stably** but **retract rational** over k ;
- (iii) $\exists 3003$ algebraic tori T which are **not retract rational** over k .

- ▶ what happens for dimension 6?
- ▶ **BUT** we do **not** know the answer for dimension 6.
- ▶ $\exists 85308$ \mathbb{Z} -conjugacy subgroups $G \leq \mathrm{GL}(6, \mathbb{Z})$
($\exists 85308$ 6-dim. algebraic tori T).

§3. Proof: Flabby (Flasque) resolution (1/2)

- ▶ The function field of n -dim. $T \xrightarrow{\text{identified}} L(M)^G$, $G \leq \text{GL}(n, \mathbb{Z})$
- ▶ M : G -lattice, i.e. f.g. \mathbb{Z} -free $\mathbb{Z}[G]$ -module.

Definition

- (i) M is **permutation** $\stackrel{\text{def}}{\iff} M \simeq \bigoplus_{1 \leq i \leq m} \mathbb{Z}[G/H_i]$.
- (ii) M is **stably permutation** $\stackrel{\text{def}}{\iff} M \oplus \exists P \simeq P'$, P, P' : permutation.
- (iii) M is **invertible** $\stackrel{\text{def}}{\iff} M \oplus \exists M' \simeq P$: permutation.
- (iv) M is **coflabby** $\stackrel{\text{def}}{\iff} H^1(H, M) = 0$ ($\forall H \leq G$).
- (v) M is **flabby** $\stackrel{\text{def}}{\iff} \widehat{H}^{-1}(H, M) = 0$ ($\forall H \leq G$). (\widehat{H} : Tate cohomology)

- ▶ “permutation”
 - \implies “stably permutation”
 - \implies “invertible”
 - \implies “flabby and coflabby”.

Proof: Flabby (Flasque) resolution (2/2)

Commutative monoid \mathcal{M}

$M_1 \sim M_2 \stackrel{\text{def}}{\iff} M_1 \oplus P_1 \simeq M_2 \oplus P_2$ ($\exists P_1, \exists P_2$: permutation).
 \implies commutative monoid \mathcal{M} : $[M_1] + [M_2] := [M_1 \oplus M_2]$, $0 = [P]$.

Theorem (Endo-Miyata, 1974, Colliot-Thélène-Sansuc, 1977)

$\exists P$: permutation, $\exists F$: flabby such that

$$0 \rightarrow M \rightarrow P \rightarrow F \rightarrow 0: \text{ flabby resolution of } M.$$

$[M]^{fl} := [F]$, $[M]^{fl}$ is invertible $\stackrel{\text{def}}{\iff} [M]^{fl} = [E]$ ($\exists E$: invertible).

Theorem (Endo-Miyata, 1973, Voskresenskii, 1974, Saltman, 1984)

(EM73) $[M]^{fl} = 0 \iff L(M)^G$ is **stably rational** over k .

(Vos74) $[M]^{fl} = [M']^{fl} \iff L(M)^G(x_1, \dots, x_m) \simeq L(M')^G(y_1, \dots, y_n)$.

(Sal84) $[M]^{fl}$ is invertible $\iff L(M)^G$ is **retract rational** over k .

Our contribution

- ▶ We give a procedure to compute a flabby resolution of M , in particular $[M]^{fl} = [F]$, **effectively** (with smaller rank after base change) by computer software GAP.
- ▶ The function `IsFlabby` (resp. `IsCoflabby`) may determine whether M is **flabby** (resp. **coflabby**).
- ▶ The function `IsInvertibleF` may determine whether $[M]^{fl} = [F]$ is **invertible** (\leftrightarrow whether $L(M)^G$ (resp. T) is **retract rational**).
- ▶ We provide some functions for checking **a possibility** of isomorphism

$$\left(\bigoplus_{i=1}^r a_i \mathbb{Z}[G/H_i] \right) \oplus a_{r+1} F \simeq \bigoplus_{i=1}^r b'_i \mathbb{Z}[G/H_i] \quad (*)$$

by computing **some invariants** (e.g. trace, \widehat{Z}^0 , \widehat{H}^0) of both sides.

- ▶ [HY, Example 10.7]. $G \simeq S_5 \leq \mathrm{GL}(5, \mathbb{Z})$ with number $(5, 946, 4)$
 $\implies \mathrm{rank}(F) = 17$ and $\mathrm{rank}(\ast) = 88$ holds
 $\implies [F] = 0 \implies L(M)^G$ (resp. T) is **stably rational** over k .

Application

Corollary ($[F] = [M]^{fl}$: invertible case, $G \simeq S_5, F_{20}$)

$\exists T, T'$; 4-dim. **not stably rational** algebraic tori over k such that $T \not\sim T'$ (birational) and $T \times T'$: 8-dim. **stably rational** over k .

$\because -[M]^{fl} = [M']^{fl} \neq 0$.

Prop. ([HY], Krull-Schmidt fails for permutation D_6 -lattices)

$\{1\}, C_2^{(1)}, C_2^{(2)}, C_2^{(3)}, C_3, C_2^2, C_6, S_3^{(1)}, S_3^{(2)}, D_6$: conj. subgroups of D_6 .

$$\begin{aligned} & \mathbb{Z}[D_6] \oplus \mathbb{Z}[D_6/C_2^{(2)}]^{\oplus 2} \oplus \mathbb{Z}[D_6/C_6] \oplus \mathbb{Z}[D_6/S_3^{(1)}] \oplus \mathbb{Z}[D_6/S_3^{(2)}] \\ & \simeq \mathbb{Z}[D_6/C_2^{(1)}] \oplus \mathbb{Z}[D_6/C_2^{(2)}] \oplus \mathbb{Z}[D_6/C_2^{(3)}] \oplus \mathbb{Z}[D_6/C_3] \oplus \mathbb{Z}^{\oplus 2}. \end{aligned}$$

► D_6 is the smallest example exhibiting the failure of K-S:

Theorem (Dress, 1973)

Krull-Schmidt holds for permutation G -lattices $\iff G/O_p(G)$ is cyclic where $O_p(G)$ is the maximal normal p -subgroup of G .

Krull-Schmidt and Direct sum cancelation

Theorem (Hindman-Klingler-Odenthal, 1998) Assume $G \neq D_8$

Krull-Schmidt **holds** for G -lattices \iff (i) $G = C_p$ ($p \leq 19$; prime),
(ii) $G = C_n$ ($n = 1, 4, 8, 9$), (iii) $G = V_4$ or (iv) $G = D_4$.

Theorem (Endo-Hironaka, 1979)

Direct sum cancellation **holds**, i.e. $M_1 \oplus N \simeq M_2 \oplus N \implies M_1 \simeq M_2$,
 $\implies G$ is abelian, dihedral, A_4 , S_4 or A_5 (*).

- ▶ via projective class group (see Swan (1988) Corollary 1.3, Section 7).
- ▶ Except for (*) \implies Direct sum cancelation **fails** \implies K-S **fails**

Theorem ([HY]) $G \leq \text{GL}(n, \mathbb{Z})$ (up to conjugacy)

- (i) $n \leq 4 \implies$ K-S **holds**.
- (ii) $n = 5$. K-S **fails** \iff 11 groups (among 6079 groups).
- (iii) $n = 6$. K-S **fails** \iff 131 groups (among 85308 groups).

Special case: $T = R_{K/k}^{(1)}(\mathbb{G}_m)$; norm one tori (1/5)

- ▶ Rationality problem for $T = R_{K/k}^{(1)}(\mathbb{G}_m)$ is investigated by S. Endo, Colliot-Thélène and Sansuc, W. Hürlimann, L. Le Bruyn, A. Cortella and B. Kunyavskii, N. Lemire and M. Lorenz, M. Florence, etc.

Theorem (Endo and Miyata, 1974), (Saltman, 1984)

Let K/k be a finite Galois field extension and $G = \text{Gal}(K/k)$.

- (i) T is retract k -rational \iff all the Sylow subgroups of G are cyclic;
- (ii) T is stably k -rational \iff G is a cyclic group, or a direct product of a cyclic group of order m and a group $\langle \sigma, \tau \mid \sigma^n = \tau^{2^d} = 1, \tau\sigma\tau^{-1} = \sigma^{-1} \rangle$, where $d, m \geq 1, n \geq 3, m, n$: odd, and $(m, n) = 1$.

Theorem (Endo, 2011)

Let K/k be a finite non-Galois, separable field extension and L/k be the Galois closure of K/k . Assume that the Galois group of L/k is nilpotent. Then the norm one torus $T = R_{K/k}^{(1)}(\mathbb{G}_m)$ is not retract k -rational.

Special case: $T = R_{K/k}^{(1)}(\mathbb{G}_m)$; norm one tori (2/5)

- ▶ Let K/k be a finite **non-Galois**, separable field extension
- ▶ Let L/k be the Galois closure of K/k .
- ▶ Let $G = \text{Gal}(L/k)$ and $H = \text{Gal}(L/K) \leq G$.

Theorem (Endo, 2011)

Assume that all the Sylow subgroups of G are cyclic.

Then T is **retract** k -rational.

$T = R_{K/k}^{(1)}(\mathbb{G}_m)$ is **stably** k -rational $\iff G$ is the dihedral group D_n of order $2n$ with n odd ($n \geq 3$) or the direct product of the cyclic group C_m of order m and the dihedral group D_n of order $2n$, where m, n are odd, $m, n \geq 3$, $(m, n) = 1$, and $H \leq D_n$ is of order 2.

Special case: $T = R_{K/k}^{(1)}(\mathbb{G}_m)$; norm one tori (3/5)

Theorem (Endo, 2011)

Assume that $\text{Gal}(L/k) = S_n$, $n \geq 3$, and $\text{Gal}(L/K) = S_{n-1}$ is the stabilizer of one of the letters in S_n .

- (i) $R_{K/k}^{(1)}(\mathbb{G}_m)$ is **retract** k -rational $\iff n$ is a prime;
- (ii) $R_{K/k}^{(1)}(\mathbb{G}_m)$ is **(stably)** k -rational $\iff n = 3$.

Theorem (Endo, 2011)

Assume that $\text{Gal}(L/k) = A_n$, $n \geq 4$, and $\text{Gal}(L/K) = A_{n-1}$ is the stabilizer of one of the letters in A_n .

- (i) $R_{K/k}^{(1)}(\mathbb{G}_m)$ is **retract** k -rational $\iff n$ is a prime;
- (ii) $\exists t \in \mathbb{N}$ s.t. $[R_{K/k}^{(1)}(\mathbb{G}_m)]^{(t)}$ is **stably** k -rational $\iff n = 5$.

- ▶ $[R_{K/k}^{(1)}(\mathbb{G}_m)]^{(t)}$: the product of t copies of $R_{K/k}^{(1)}(\mathbb{G}_m)$.

Special case: $T = R_{K/k}^{(1)}(\mathbb{G}_m)$; norm one tori (4/5)

Theorem ([HY], Rationality for $R_{K/k}^{(1)}(\mathbb{G}_m)$ (dim. 4, $[K : k] = 5$))

Let K/k be a separable field extension of degree 5 and L/k be the Galois closure of K/k . Assume that $G = \text{Gal}(L/k)$ is a transitive subgroup of S_5 and $H = \text{Gal}(L/K)$ is the stabilizer of one of the letters in G . Then the rationality of $R_{K/k}^{(1)}(\mathbb{G}_m)$ is given by

G	$L(M) = L(x_1, x_2, x_3, x_4)^G$
5T1	C_5 stably k -rational
5T2	D_5 stably k -rational
5T3	F_{20} not stably but retract k -rational
5T4	A_5 stably k -rational
5T5	S_5 not stably but retract k -rational

- ▶ This theorem is already known **except for the case of A_5** (Endo).
- ▶ Stably k -rationality for the case A_5 is asked by S. Endo (2011).

Special case: $T = R_{K/k}^{(1)}(\mathbb{G}_m)$; norm one tori (5/5)

By combining this theorem with Endo's theorem, we obtain:

Corollary

Let K/k be a **non-Galois** separable field extension of degree n and L/k be the Galois closure of K/k . Assume that $\text{Gal}(L/k) = A_n$, $n \geq 4$, and $\text{Gal}(L/K) = A_{n-1}$ is the stabilizer of one of the letters in A_n . Then $R_{K/k}^{(1)}(\mathbb{G}_m)$ is **stably** k -rational $\iff n = 5$.